

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10714-003

APPLICATION NO.

09/846,891

APPLICANT

Charbonneau et al.

FILING DATE

May 1, 2001

GROUP

2122

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
qu-b.	AQ	5,938,594	8/17/1999	Poon et al.			
I	AR	5,761,386	6/2/1998	Lawrence et al.			
I	AS	5,613,041	3/18/1997	Keeler et al.			
qu-b.	AT	5,479,573	12/26/1995	Keeler et al.			
	AU						
	AV						
	AW						
	AX						
	AY						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AZ							
	BA							
	BB							
	BC							
	BD							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	BE	
	BF	
	BG	

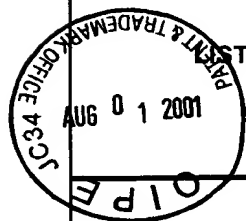
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24-B	AA	5,109,475	04-28-92	Kosaka et al.			
	AB	5,402,519	03-28-95	Inoue et al.			
	AC	5,563,983	10-08-96	Nozaki et al.			
	AD	5,946,666	08-13-99	Nevo et al.			
	AE	5,680,305	10-21-97	Apgar, IV			
	AF	5,577,166	11-19-96	Mizuno			
	AG	5,761,442	06-02-98	Barr et al.			
	AH	5,444,819	08-22-95	Negishi			
24-D	AI	5,255,347	10-19-93	Matsuba et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

24-B	AJ	Jang et al., "An Intelligent Trend Prediction and Reversal Recognition System Using Dual-module Neural Networks", IEEE, p42-51, June 1991
	AK	Collard, "Commodity Trading with a Two Year Old", Abstract, Martingale Research Corp., Allen, Texas
	AL	Tam, "WSJE: U.S. Fund Firm Patents Investment Methods", Dow Jones Newswires, 1998
	AM	Davidson, "Trust me, I'm an expert", NewScientist, 12-06-97, downloaded from the World Wide Web at URL < http://www.newscientist.com/nsplus/insight/ai/trustme.html > on Jan 2, 2001
	AN	Lawrence, Excerpts from <u>Introduction to Neural Networks</u> , 1988-1993, pp1-13, pp.146-171, pp. 188-233
	AO	Kimoto et al, "Stock Market Prediction System with Modular Neural Networks", IEEE, pp17-21, June 1990
24-D	AP	Collard, "Commodity Trading with a Three Year Old", in <u>Neural Networks in Finance and Investing</u> , Trippi et al. ed., pp 589-597, 1996, Irwin Professional Publishing

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